



# QUALIFIED PLAN STATEMENT OF INVESTMENT DIRECTION

ACCOUNT # \_\_\_\_\_

In order to meet the objectives of the \_\_\_\_\_ Plan & Trust, as established by the Plan Trustee(s), Great Plains Trust Company (GPTC) is **DIRECTED** to invest Plan assets held under an Investment Advisor Agreement dated the \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_ as set forth in this statement of investment direction. Trustee(s) hereby warrants that this Statement of Investment Direction is consistent with Plan objectives and Trustee(s) is fully authorized to make determination of Investment Direction. The allocation of Plan investments with percentage of assets to be invested in each fund is as follows:

**CHECK ALL INVESTMENT CHANGES THAT APPLY:**

**THIS DEPOSIT ONLY** for deposit in the amount of \$\_\_\_\_\_ immediately following GPTC's receipt of my instructions.

**FUTURE DEPOSITS** to my account immediately following the Trustee's receipt of my instructions.

**EXISTING ACCOUNT BALANCES** effective immediately following the Trustee's receipt of my instructions.

**ALLOCATIONS:**

Great Plains Trust Company as Investment Advisor and Custodian may place all funds awaiting investment or distribution into a "money market" fund utilized by GPTC for the temporary investment of Trust Funds. These totals will add to 100% of the Account other than maintained specific safekeeping assets.

\$ \_\_\_\_\_ or \_\_\_\_ % Artisan High Income Institutional

\$ \_\_\_\_\_ or \_\_\_\_ % Baird Aggregate Bond Institutional

\$ \_\_\_\_\_ or \_\_\_\_ % Baird Short Term Bond Institutional

\$ \_\_\_\_\_ or \_\_\_\_ % Buffalo Discovery Institutional

\$ \_\_\_\_\_ or \_\_\_\_ % Buffalo International Institutional

\$ \_\_\_\_\_ or \_\_\_\_ % Buffalo Small Cap Institutional

\$ \_\_\_\_\_ or \_\_\_\_ % Cohen & Steers Institutional Realty Shares

\$ \_\_\_\_\_ or \_\_\_\_ % Fidelity 500 Index Institutional

\$ \_\_\_\_\_ or \_\_\_\_ % Fidelity Extended Market Index Institutional Prime

\$ \_\_\_\_\_ or \_\_\_\_ % Hartford Schroders Emerging Markets Equity SDR

\$ \_\_\_\_\_ or \_\_\_\_ % Nuance Midcap Value Z

\$ \_\_\_\_\_ or \_\_\_\_ % Vanguard Small-Cap Index Fund Institutional

\$ \_\_\_\_\_ or \_\_\_\_ % Northern U.S. Government Select

**IMPORTANT INFORMATION ABOUT THE BUFFALO FUNDS (Including PTE 77-4 Information)**

Kornitzer Capital Management, Inc. ("KCM") serves as investment advisor for the Buffalo Funds, and it receives compensation for doing so. There is common ownership between Great Plains Trust Company and KCM. In addition, some shareholders of GPTC are employees of KCM, and some shareholders of GPTC are family members of shareholders of KCM.

Because KCM serves as an investment adviser for the Buffalo Funds (and markets the Buffalo Funds), special rules apply to any recommendation Great Plains Trust Company may make to invest in the Buffalo Funds. Consistent with U.S. Department of Labor Prohibited Transaction Exemption (PTE) 77-4, the Plan will not pay a sales commission in connection with the purchase or sale of any investment in the Buffalo Funds. Additionally, the Plan will not pay a redemption fee to KCM in connection with the sale by the Plan of any shares of the Buffalo Funds. Finally, the annual 35 basis points fee described in Section 12 of the Investment Advisor and Custodial Agreement will be waived with respect to amounts invested in the Buffalo Funds. Although these fees will be waived, KCM will be paid fees from the Buffalo Funds and GPTC will receive up to 25 basis points (.0025%) annually in shareholder servicing fees from the Buffalo Funds. For more complete information on charges and expenses of the Buffalo Funds, please refer to the prospectuses for those funds. Any fees that the Plan must pay to KCM will be set out in a written disclosure provided to the Trustee(s) (as described in Paragraph 2 below).

The Trustee(s) serve as an ERISA fiduciary with respect to the Plan. The Trustee(s) acknowledge and agree to the following:

1. The Trustee(s) are independent of, and unrelated to, GPTC, KCM, or any affiliate of either;
2. The Trustees have received current prospectuses for the Buffalo Funds issued by KCM, and a full and detailed written disclosure of the investment advisory or other fees charged to or paid by the Plan and Buffalo Funds, including: (a) the nature and extent of any differential between the rates of such fees, (b) the reasons why GPTC may consider such purchases to be appropriate for the Plan, and (3) whether there are any limitations on GPTC with respect to which Plan Assets may be invested in the Buffalo Funds (and if so, the nature of such limitations ); and
3. Based on the prospectuses and written disclosure described in the preceding paragraph, the Trustee(s) approve any purchases and sales of the Buffalo Funds by the Plan, consistent with the Trustees' responsibilities, obligations, and duties imposed on fiduciaries by Part 4 of Title I of ERISA. This includes approval of the fees to be paid by the Plan for investment in the Buffalo Funds.

GPTC agrees to notify the Trustee(s), or any successor thereto, of any change in any of the rates and fees charged to or paid by the Plan for investments in the Buffalo Funds. For the exemption under PTE 77-4 to remain in force, upon receiving such a notification, the Trustee(s) must approve (in writing) the continued investment in the Buffalo Funds by the Plan (and the continued holding of any Buffalo Fund shares acquired by the Plan prior to such change and still held by the Plan).

**SIGNATURES:**

**IT IS UNDERSTOOD THAT INVESTMENT OBJECTIVES MUST BE REVIEWED PERIODICALLY BY THE TRUSTEE(S) TO DETERMINE WHETHER CHANGES ARE REQUIRED TO MEET THE PLAN OBJECTIVES.**

\_\_\_\_\_  
Trustee or Employer:

\_\_\_\_\_  
Trustee or Employer:

\_\_\_\_\_  
Current email

\_\_\_\_\_  
Current email

The above direction received by GPTC the \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

\_\_\_\_\_  
Authorized Officer

Mail this form to: Great Plains Trust Company, 7700 Shawnee Mission Pkwy Ste 101, Overland Park, KS, 66202.  
Faxed copies sent to 913-831-0007. GPTC is not responsible for lost faxes.

**YOU MUST VERIFY RECEIPT OF A FAX BY CALLING GPTC AT 913-831-7999**